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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

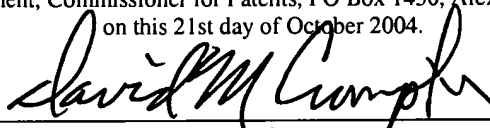
<b>Applicant:</b>	Steven A. McAuley et al.	<b>Confirmation No.:</b>	5285
<b>Serial No.:</b>	10/828,572	<b>Examiner:</b>	Unknown
<b>Filing Date:</b>	April 21, 2004	<b>Group Art Unit:</b>	3763
<b>Docket No.:</b>	1001.1704101	<b>Customer No.:</b>	28075
<b>For:</b>	TRACTION BALLOON		

***TRANSMITTAL SHEET***

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. § 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:  
 Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450  
 on this 21st day of October 2004.

By   
 David M. Crompton

We are transmitting herewith the attached:

- ☐ Amendment
- ☐ No additional claim fee required
- ☐ The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 44=	\$	X 88 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 150 =	\$	+ 300 =	\$
TOTAL				\$		\$	

[ ] A check in the amount of \$\_\_\_\_\_ is enclosed. Itemization:

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

[ ] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND TWO CITED NON-U.S. PATENT/PUBLICATION REFERENCES.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton, Reg. No. 36,772

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

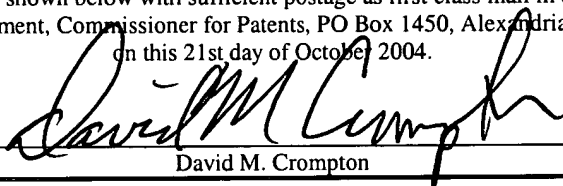
In re: Steven A. McAuley et al. Confirmation No.: 5285  
Serial No.: 10/828,572 Examiner: Unknown  
Filing Date: April 21, 2004 Group Art Unit: 3763  
Docket No.: 1001.1704101 Customer No.: 28075  
For: TRACTION BALLOON

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

**CERTIFICATE UNDER 37 C.F.R. § 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:  
Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450  
on this 21st day of October, 2004.

By

  
David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

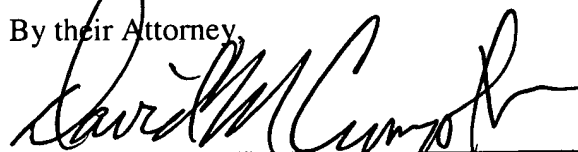
In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Steven A. McAuley et al.

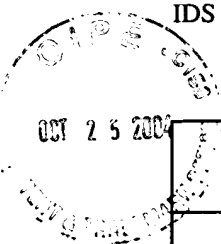
By their Attorney,



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Date:

10/21/04



<b>FORM PTO-1449</b>		Atty. Docket No.: 1001.1704101	Serial No.: 10/828,572
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Steven A. McAuley et al.	
		Confirmation No.: 5285	
		Filing Date: April 21, 2004	Group Art: 3763

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	5,350,361	09/27/1994	Tsukashima et al.	
AB	5,425,712	06/20/1995	Goodin	
AC	5,456,666	10/10/1995	Campbell et al.	
AD	5,458,572	10/14/1995	Campbell et al.	
AE	5,478,319	12/26/1995	Campbell et al.	
AF	5,649,941	07/22/1997	Lary	
AG	5,743,875	04/28/1998	Sirhan et al.	
AH	5,759,191	06/02/1998	Barbere	
AI	6,283,743	09/04/2001	Traxler et al.	

**FOREIGN PATENT DOCUMENTS**

	Document No.	Date	Country	Translation Yes No
AJ	EP 0 792 656 A1	09/03/1997	Europe	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

AK	Lary, Banning G., "A Method to Create and Correct Stenosis of a Coronary Artery," <u>Archives of Surgery</u> , November 1966, Vol. 93, pp. 828-830.

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.